A strong nominal semantics for fixed-point constraints

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[What are fixed-point constraints?](#page-1-0)

The set of nominal terms *T*(Σ*,* A*,* V) are defined inductively by the following grammar:

$$
t ::= a \mid \pi \cdot X \mid f(t_1, \ldots, t_n) \mid [a]t
$$

where

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- *a* range over an infinite countable set of atoms A (object-level);
- *X* range over an infinite countable set of variables V (meta-level);
- *π · X* are called suspensions. Id *· X* is represented by *X*;
- *π* range over finite permutations on A, i.e. bijections A *→* A with $dom(\pi) := \{a \in \mathbb{A} \mid \pi(a) \neq a\}$ is finite;

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- f range over a finite signature Σ ;
- [*a*]*t* denotes the abstraction of the atom *a* over the term *t*; it represents "*x.e*" or "*x.ϕ*" in expressions like "*λx.e*" or "*∀x.ϕ*".

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- A fixed-point constraint is an expression of the form *π* ⋏ *t*. Intuitively, it means that $\pi \wedge t$ iff $\pi \cdot t = t$.
- Originally, nominal theory was defined using freshness constraints *a*#*t*, which generalizes that *a* is not a free name in *t* [[UPG04](#page-47-0); [Gab09](#page-46-0); [GM09](#page-46-1)].
- Fixed-points were inspired by the following equivalence [\[GP02](#page-46-2); [And13](#page-44-0)]

$$
a \# x \iff \text{Mc.}(a \ c) \cdot x = x,
$$

where the quantifier new (*V*) quantifies over fresh names, that is, *a* is fresh for *x* iff for any fresh atom *c*, the swapping (*a c*) fixes *x*.

Why work with fixed-point constraints?

- Nominal unification involves finding substitutions that make two nominal terms equal, originally defined using freshness constraints [\[UPG04](#page-47-0)].
- Nominal unification is unitary with freshness constraints but loses this property with equational theories like commutativity C [[Aya+17;](#page-45-0) [Aya+19](#page-45-1)].

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- Nominal unification involves finding substitutions that make two nominal terms equal, originally defined using freshness constraints [\[UPG04](#page-47-0)].
- Nominal unification is unitary with freshness constraints but loses this property with equational theories like commutativity C [[Aya+17;](#page-45-0) [Aya+19](#page-45-1)].
- For instance, $(a\ b)\cdot X =^?$ X has as solution $\langle \{a\#X, b\#X\}, \mathbf{id} \rangle$. However, there are infinite solutions to the problem:

 $[X \mapsto a + b]$, $[X \mapsto (a + b) + (a + b)]$, $[X \mapsto f(a + b)]$, ...

- This property is recovered with the introduction of fixed-points [\[AFN20\]](#page-44-1)
- \cdot \langle { $(a b) \times X$ }, id} solves it and recover all the lost solutions.

$$
\frac{\pi(a) = a}{\Upsilon \vdash \pi \curlywedge a} (\curlywedge a) \qquad \qquad \frac{\Upsilon \vdash \pi \curlywedge t_1 \cdots \Upsilon \vdash \pi \curlywedge t_n}{\Upsilon \vdash \pi \curlywedge f(t_1, \ldots, t_n)} (\curlywedge f)
$$
\n
$$
\frac{\text{dom}(\pi^{\pi'^{-1}}) \subseteq \text{dom}(\text{perm}(\Upsilon \mid x))}{\Upsilon \vdash \pi \curlywedge \pi' \cdot X} (\curlywedge \text{var}) \qquad \qquad \frac{\Upsilon, (\overline{c_1 c_2}) \curlywedge \text{Var}(t) \vdash \pi \curlywedge (a c_1) \cdot t}{\Upsilon \vdash \pi \curlywedge [a]t} (\curlywedge \text{abs})
$$

Figure 1: Derivation rules for fixed-points; c_1 , c_2 are fresh names.

• Fixed-point contexts (Υ) contain primitive constraints of the form *π* ⋏ *X*.

With the context $\Upsilon = \{(a_1 a_2) \cup X_1, (a_3 a_4) \cup X_1\}$ we can derive

$$
\frac{\lbrace a_1, a_3 \rbrace}{\mathsf{dom}((a_1 \ a_3)) \subseteq \mathsf{dom}(\mathsf{perm}(\Upsilon|_{X_1}))} \overbrace{\lbrace (a_1 \ a_2) \ \lambda \ X_1, (a_3 \ a_4) \ \lambda \ X_1 \rbrace \vdash (a_1 \ a_3) \ \lambda \ X_1} \overbrace{\lbrace (a_1 \ a_2) \ \lambda \ X_1, (a_3 \ a_4) \ \lambda \ X_1 \rbrace \vdash (a_1 \ a_3) \ \lambda \ X_1}
$$

- \cdot An equality constraint is a pair $t = u$ where t and *u* are nominal terms.
- An axiom is an equality judgement $\Upsilon \vdash t = u$.
- \cdot A (nominal) theory **T** = (Σ, Ax) consists of a signature Σ and a (possibly) infinite set of axioms *Ax*.

For example,

- CORE, represents a theory with no axioms $T = (\Sigma, \emptyset)$.
- \cdot **C** = (Σ , { \vdash X + Y = Y + X}) represents a commutative theory.

Derivation rules for equality via fixed-point constraints

$$
\begin{array}{c}\n\overbrace{\Upsilon \vdash t = t} \text{ (refl)} \quad \frac{\Upsilon \vdash t = u}{\Upsilon \vdash u = t} \text{ (symm)} \quad \frac{\Upsilon \vdash t = u}{\Upsilon \vdash t = v} \quad \text{(tran)} \\
\frac{\Upsilon \vdash (\pi \cdot \Upsilon')\sigma}{\Upsilon \vdash \pi \cdot t\sigma = \pi \cdot u\sigma} \text{ (ax}_{\Upsilon' \vdash t = u)} \quad \frac{\Upsilon \vdash t = u}{\Upsilon \vdash [a]u} \text{ (cong[])} \\
\frac{\Upsilon \vdash t = u}{\Upsilon \vdash f(\dots, t, \dots) = f(\dots, u, \dots)} \text{ (cong f)} \\
\frac{\Upsilon, \pi \land X \vdash t = u \quad (\text{dom}(\pi) \subseteq \text{dom}(\text{perm}(T \mid x)))}{\Upsilon \vdash t = u} \text{ (fr)} \\
\frac{\Upsilon, (\overbrace{C_1 C_2}) \land \text{Var}(t) \vdash (a \, c_1) \land t}{\Upsilon \vdash (a \, b) \cdot t = t} \text{ (perm)}\n\end{array}
$$

Figure 2: Derivation rules for equality; c_1 , c_2 , d_1 , d_2 are fresh names.

 \cdot $(\pi \cdot \Upsilon')\sigma = {\pi'^\pi \ltimes \pi \cdot X} \sigma \mid \pi' \ltimes X \in \Upsilon'$, where σ is a substitution.

[Semantics](#page-13-0)

Suppose $\mathscr{X} = (|\mathscr{X}|, \cdot)$ is a Perm(A)-set, i.e. a set equipped with a permutation action.

Definition

• The support of an element $x \in |\mathcal{X}|$, denoted by supp(x), is the least finite atom set that supports *x*, that is, for all permutations *π*,

$$
(\forall a \in \mathsf{supp}(x) \ldotp \pi(a) = a) \implies \pi \cdot x = x. \tag{1}
$$

- Additionally, supp(*x*) is strong if it also satisfies the converse of([1\)](#page-14-0).
- \cdot $\mathscr X$ is a nominal set iff all elements have a finite support. Similarly, $\mathscr X$ is a strong nominal set iff all elements have a strong finite support.

Example

1. The set A with the action $\pi \cdot a = \pi(a)$ for every $a \in A$ and $\pi \in \text{Perm}(A)$; $supp(a) = \{a\}$ for all $a \in A$.

Example

- 1. The set A with the action $\pi \cdot a = \pi(a)$ for every $a \in A$ and $\pi \in \text{Perm}(A)$; $supp(a) = \{a\}$ for all $a \in \mathbb{A}$.
- 2. The set $\mathcal{P}_{fin}(\mathbb{A}) = \{B \subset \mathbb{A} \mid B \text{ is finite}\}\$ is a nominal set when equipped with the action $\pi \cdot B = {\pi \cdot a \mid a \in B}$ for every $B \in \mathcal{P}_{fin}(A)$ and $\pi \in \text{Perm(A)}$; supp(*B*) = *B* for all $B \in \mathcal{P}_{fin}(A)$.
	- $P_{fin}(A)$ is NOT strong because for $B = \{a, b\}$ and $\pi = (a \; b)$ we have $\pi \cdot B = B$ but $\pi(a) \neq a$.

Example

- 3. The set of all ground nominal terms *T*(Σ*,* A*, ∅*) with the usual permutation action forms a strong nominal set; $\text{supp}(q) = \text{atm}(q)$ for all $q \in T(\Sigma, \mathbb{A}, \emptyset)$, where $\text{atm}(q)$ is the set of all atoms that occur in *q*.
- 4. Quotienting $T(Σ, ∧, ∅)$ by the relation $g ∼ g'$ iff $⊤$ _T $g = g'$, then the set *T*(Σ*,* A*, ∅*)*/[∼]* is a nominal set. We usually denote it just by F(T*,* Σ); $\mathsf{supp}(\overline{g}) = \bigcap \{ \mathsf{supp}(g') \mid g' \in \overline{g} \}$ for all $\overline{g} \in \mathbb{F}(\mathsf{T}, \Sigma)$.

For C, the nominal set $\mathbb{F}(C,\Sigma)$ is not strong.

Given a signature Σ , a (strong) Σ -algebra $\mathfrak A$ consists of:

- 1. A domain (strong) nominal set $\mathscr{A} = (|\mathscr{A}|, \cdot).$
- 2. An equivariant map $\text{atom}^{\mathfrak{A}}$: $\mathbb{A} \rightarrow |\mathscr{A}|$ to interpret atoms;
- 3. An equivariant map $\texttt{abs}^\mathfrak{A}\colon\mathbb{A}\times|\mathscr{A}|\to|\mathscr{A}|$ to interpret abstractions, such that $a \notin \text{supp}(\text{abs}^{\mathfrak{A}}(a,x))$ for any $a \in \mathbb{A}$ and $x \in |\mathscr{A}|$.
- 4. An equivariant map *f* ^A : *|A | ⁿ → |A |* for each term-former f : *n* in Σ.

- \cdot A valuation ς in $\mathfrak A$ maps variables $X \in \mathbb V$ to elements $\varsigma(X) \in |\mathscr A|$.
- The interpretation of a nominal term *t*, denoted by $[\![t]\!]_s^{\mathfrak{A}}$ is defined inductively by:

$$
\llbracket a \rrbracket_{\varsigma}^{\mathfrak{A}} = \mathsf{atom}^{\mathfrak{A}}(a) \qquad \qquad \llbracket \pi \cdot X \rrbracket_{\varsigma}^{\mathfrak{A}} = \pi \cdot \varsigma(X)
$$

$$
\llbracket \mathsf{f}(t_1, \ldots, t_n) \rrbracket_{\varsigma}^{\mathfrak{A}} = f^{\mathfrak{A}}(\llbracket t_1 \rrbracket_{\varsigma}^{\mathfrak{A}}, \ldots, \llbracket t_n \rrbracket_{\varsigma}^{\mathfrak{A}}) \qquad \qquad \llbracket [a]t \rrbracket_{\varsigma}^{\mathfrak{A}} = \mathsf{abs}^{\mathfrak{A}}(a, \llbracket t \rrbracket_{\varsigma}^{\mathfrak{A}}).
$$

Let $\mathfrak A$ be a (strong) Σ-algebra and *ς* a valuation on $\mathfrak A$.

- \cdot $\llbracket \Upsilon \rrbracket_{\varsigma}^{\mathfrak{A}}$ is valid iff $\pi \cdot \llbracket X \rrbracket_{\varsigma}^{\mathfrak{A}} = \llbracket X \rrbracket_{\varsigma}^{\mathfrak{A}}$ for each $\pi \wedge X \in \Upsilon$.
- \cdot $[\![\Upsilon \vdash \pi \wedge t]\!]^{\mathfrak{A}}_{\varsigma}$ is valid iff $[\![\Upsilon]\!]^{\mathfrak{A}}_{\varsigma}$ (valid) implies $\pi \cdot [\![t]\!]^{\mathfrak{A}}_{\varsigma} = [\![t]\!]^{\mathfrak{A}}_{\varsigma}$.
- $\int_{\mathbb{R}} \mathbb{T} \cdot \$

Definition

Let $T = (\Sigma, Ax)$ be a theory. A (strong) model of T is a (strong) Σ -algebra $\mathfrak A$ such that for every valuation ς in $\mathfrak A$ we have that

 $[\![\Upsilon \bDash t = u]\!]_S^{\mathfrak{A}}$ is valid for every axiom $\Upsilon \bDash t = u \in \mathsf{Ax}$ *.*

Soundness

Question: Is soundness true?

Suppose $T = (\Sigma, Ax)$ is a theory, $\mathfrak A$ is a Σ -algebra which is a model of T, and ς is a valuation on \mathfrak{A} . Then:

- 1. If $\Upsilon \vdash \pi \wedge t$ then $\llbracket \Upsilon \vdash \pi \wedge t \rrbracket^{\mathfrak{A}}_{\varsigma}$ is valid.
- 2. If $\Upsilon \vdash_{\mathsf{T}} t = u$ then $[\Upsilon \vdash t = u]_{\varsigma}^{\mathfrak{A}}$ is valid.

Soundness

Question: Is soundness true?

Suppose $T = (\Sigma, Ax)$ is a theory, $\mathfrak A$ is a Σ -algebra which is a model of T, and *ς* is a valuation on **2**. Then:

- 1. If $\Upsilon \vdash \pi \wedge t$ then $\llbracket \Upsilon \vdash \pi \wedge t \rrbracket^{\mathfrak{A}}_{\varsigma}$ is valid.
- 2. If $\Upsilon \vdash_{\mathsf{T}} t = u$ then $[\Upsilon \vdash t = u]_{\varsigma}^{\mathfrak{A}}$ is valid.

No! The nominal set semantics for nominal theories via fixed-point constraints fails to be sound. The culprit is the rule

$$
\frac{\text{dom}(\pi^{\pi'^{-1}}) \subseteq \text{dom}(\text{perm}(\Upsilon|_X))}{\Upsilon \vdash \pi \lor \pi' \cdot X} (\land \text{var})
$$

We're going to present a Σ-algebra A, a valuation *ς* and a derivation Υ *`* $\pi \vee \pi' \cdot X$ using $(\wedge \text{var})$ such that $[\![\Upsilon \vdash \pi \wedge \pi' \cdot X]\!]_S^{\mathfrak{A}}$ is not valid.

Consider the domain of $\mathfrak A$ as the nominal set $\mathcal P_{fin}(\mathbb A)$.

- Fix enumerations of $V = \{X_1, X_2, \ldots\}$ and $\mathbb{A} = \{a_1, a_2, \ldots\}$.
- Define the valuation $\varsigma(X_i) := \{a_i, a_{i+1}\}$. Then $\varsigma(X_1) = \{a_1, a_2\}$, $\varsigma(X_2) =$ *{a*2*, a*3*}* and so on.

Consider the derivation $\{(a_1 a_2) \lambda X_1, (a_3 a_4) \lambda X_1\} \vdash (a_1 a_3) \lambda X_1$ from before. Then

- \cdot $\llbracket \Upsilon \rrbracket^{\mathfrak{A}}_{\varsigma}$ is valid because $(a_1 \ a_2) \cdot \llbracket X_1 \rrbracket^{\mathfrak{A}}_{\varsigma} = \llbracket X_1 \rrbracket^{\mathfrak{A}}_{\varsigma}$ and $(a_3 \ a_4) \cdot \llbracket X_1 \rrbracket^{\mathfrak{A}}_{\varsigma} = \llbracket X_1 \rrbracket^{\mathfrak{A}}_{\varsigma}.$
- However, $(a_1 \ a_3) \cdot [X_1]_s^{\mathfrak{A}} \neq [X_1]_s^{\mathfrak{A}}$ because

$$
(a_1 a_3) \cdot [X_1]_s^{\mathfrak{A}} = \{a_3, a_2\} \neq \{a_1, a_2\} = [X_1]_s^{\mathfrak{A}}
$$
.

By restricting the semantics to the class of strong nominal sets, we obtain a weak version of soundness:

Theorem (Soundness for strong models)

Suppose $T = (\Sigma, Ax)$ is a theory, $\mathfrak A$ is a strong Σ -algebra which is a strong model of T, and ς is a valuation on \mathfrak{A} . Then:

1. If
$$
\Upsilon \vdash \pi \wedge t
$$
 then $[\![\Upsilon \vdash \pi \wedge t]\!]_S^{\mathfrak{A}}$ is valid.

2. If $\Upsilon \vdash_{\mathsf{T}} t = u$ then $\llbracket \Upsilon \vdash t = u \rrbracket_{\varsigma}^{\mathfrak{A}}$ is valid.

[What about completeness?](#page-25-0)

Strong theories

- Completeness relies on the following result: for a theory T, the set F(T*,* Σ) is strong nominal.
- Commutativity fails for this property, so we must restrict our theories to strong theories.

Strong theories

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Definition

- Given a term *t*, we write *X <^t Y* if *X* occurs in *t* at position *p* and *Y* occurs in *t* at position *q* with $p \lt_{\text{lex}} q$.
- An axiom $\vdash t = u$ is strong if the following hold:
	- 1. *t* and *u* are first-order terms (i.e., they are built using just function symbols and variables);
	- 2. $\lt t$ and $\lt u$ are strict partial orders (we say that *t*, *u* are well-ordered);
	- 3. the order of the variables that occur in *t* and in *u* is compatible: if $X \leq t$ *Y* then it is not the case that $Y \leq u X$.

Strong theories

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Theorem

If **T** *is a strong theory, then* $\mathbb{F}(T,\Sigma)$ *is a strong nominal set.* $\frac{1}{17}$

Example (non-strong)

Condition 1 excludes axioms like $ATOM = \{ \ \ \vdash a = b \}$. Condition 2 excludes axioms like distributivity $D = \{ Y + X * (Y + Z) = X * Y + X * Z \}.$ Condition 3 excludes permutative theories like $C = \{ \ \vdash f(X, Y) = f(Y, X) \}.$

Example (strong)

The following axioms (and their combinations) are strong:

- \cdot Associativity $A = \{ \ \vdash \mathsf{f}(\mathsf{f}(X, Y), Z) = \mathsf{f}(X, \mathsf{f}(Y, Z)) \}.$
- Homomorphism $Hom = \{ \ \vdash h(X * Y) = h(X) * h(Y) \}.$
- \cdot Idempotency $I = \{ \ \vdash g(X, X) = X \}.$
- Neutral element $N = \{ \ \ \vdash \ X \ast 0 = 0 \}.$
- Left-/right-projection $Lproj = \{ \ \vdash pl(X,Y) = X \}$ and $Rproj = \{ \ \vdash$ $pr(X, Y) = Y$.

[Recovering Soundness](#page-30-0)

- Recall Pitts' equivalence: $a \# x \iff \text{Mc}(a \ c) \cdot x = x$,
- Primitive constraints should've be of the form ^N*c.*(*a c*) ⋏ *X* instead of just $\pi \vee X$.
- Recall Pitts' equivalence: *a*#*x ⇐⇒* ^N*c.*(*a c*) *· x* = *x,*
- Primitive constraints should've be of the form ^N*c.*(*a c*) ⋏ *X* instead of just $\pi \vee X$.

- A strong fixed-point context, denoted Υ*A,C*, consists of a finite set with primitive constraints of the form $\text{Mc}(a c) \vee \vee \vee$, where $a \in A$, $c \in C$, and *A* and *C* are disjoint sets of atoms.
- A strong fixed-point judgment is of the form $M\bar{c}$.($\Upsilon_{A,\bar{c}^-} \vdash \pi \vee t$) where Υ*A,c*⁰ is a strong fixed-point context and *c*⁰ *⊆ c*. Similarly, a strong *α*equality judgment takes the form *V*ic.(Υ $_{A,\overline{c_0}}$ – s $\stackrel{\curlywedge}{\approx}_\alpha$ t).

$$
\frac{\pi(a) = a}{\Upsilon \vdash \pi \bot a} (\bot a) \qquad \qquad \frac{\Upsilon \vdash \pi \bot t_1 \cdots \Upsilon \vdash \pi \bot t_n}{\Upsilon \vdash \pi \bot f(t_1, \ldots, t_n)} (\bot f)
$$
\n
$$
\frac{\text{dom}(\pi^{\pi'^{-1}}) \subseteq \text{dom}(\text{perm}(\Upsilon \mid \chi))}{\Upsilon \vdash \pi \bot \pi' \cdot X} (\bot \text{var}) \qquad \qquad \frac{\Upsilon, \overline{(c_1 c_2) \bot \text{Var}(t)} \vdash \pi \bot (a c_1) \cdot t}{\Upsilon \vdash \pi \bot [a]t} (\bot \text{abs})
$$

Figure 3: Derivation rules for fixed-points; c_1 , c_2 are fresh names.

$$
\frac{\pi(a) = a}{\sqrt{a \pi} \cdot \pi_{A, \overline{c_0}} + \pi \vee a} (\vee a) \qquad \frac{\sqrt{a \pi} \cdot \pi_{A, \overline{c_0}} + \pi \vee t_1 \cdots \sqrt{a \pi} \cdot \pi_{A, \overline{c_0}} + \pi \vee t_n}{\sqrt{a \pi} \cdot \pi_{A, \overline{c_0}} + \pi \vee f(t_1, \ldots, t_n)} (\vee f)
$$
\n
$$
\frac{\text{dom}(\pi^{\pi^{\prime - 1}}) \setminus \overline{c} \subseteq \text{dom}(\text{perm}(\Upsilon_{A, \overline{c_0}} | x))}{\sqrt{a \pi} \cdot \pi_{A, \overline{c_0}} + \pi \vee \pi \cdot x} (\vee \text{var}) \qquad \frac{\text{MC}, \text{C}_1, \text{T}_{A, \overline{c_0}} + \pi \vee (a \text{ C}_1) \cdot t}{\sqrt{a \pi} \cdot \pi_{A, \overline{c_0}} + \pi \vee [a] t} (\vee \text{abs})
$$

Figure 4: Derivation rules for strong judgements. Here, *c* denotes a list of distinct atoms c_1, \ldots, c_n . In all the rules $\overline{c_0} \subseteq \overline{c}$.

New derivation rules for *α*-equality

$$
\frac{\text{diam}(\pi_{A,\overline{c_{0}}} \mid \hat{c}_{\alpha} \text{ a}) \qquad \frac{\text{dom}(\pi'^{-1} \circ \pi) \setminus \overline{c} \subseteq \text{dom}(\text{perm}(\Upsilon_{A,\overline{c_{0}}}|_{X}))}{\text{W} \overline{c}.\Upsilon_{A,\overline{c_{0}}} \vdash \pi \cdot X \overset{\lambda}{\approx}_{\alpha} \pi' \cdot X} (\overset{\lambda}{\approx}_{\alpha} \text{ var})
$$
\n
$$
\frac{\text{diam}(\pi'^{-1} \circ \pi) \setminus \overline{c} \subseteq \text{dom}(\text{perm}(\Upsilon_{A,\overline{c_{0}}}|_{X}))}{\text{W} \overline{c}.\Upsilon_{A,\overline{c_{0}}} \vdash t_{1} \overset{\lambda}{\approx}_{\alpha} t'_{1} \cdots \text{W} \overline{c}.\Upsilon_{A,\overline{c_{0}}} \vdash t_{n} \overset{\lambda}{\approx}_{\alpha} t'_{n}}{\text{W} \overline{c}.\Upsilon_{A,\overline{c_{0}}} \vdash f(t_{1},\ldots,t_{n}) \overset{\lambda}{\approx}_{\alpha} f(t'_{1},\ldots,t'_{n})} (\overset{\lambda}{\approx}_{\alpha} f)
$$
\n
$$
\frac{\text{W} \overline{c}.\Upsilon_{A,\overline{c_{0}}} \vdash f(t_{1},\ldots,t_{n}) \overset{\lambda}{\approx}_{\alpha} t'}{\text{W} \overline{c}.\Upsilon_{A,\overline{c_{0}}} \vdash [\text{a}]t \overset{\lambda}{\approx}_{\alpha} [\text{a}]t'} (\overset{\lambda}{\approx}_{\alpha} [\text{a}])
$$
\n
$$
\frac{\text{W} \overline{c}.\Upsilon_{A,\overline{c_{0}}} \vdash s \overset{\lambda}{\approx}_{\alpha} (\text{a } b) \cdot t \qquad \text{W} \overline{c}, c_{1}.\Upsilon_{A,\overline{c_{0}}} \vdash (\text{a } c_{1}) \land t}{\text{W} \overline{c}.\Upsilon_{A,\overline{c_{0}}} \vdash [\text{a}]s \overset{\lambda}{\approx}_{\alpha} [\text{b}]t} (\overset{\lambda}{\approx}_{\alpha} \text{ab})
$$

Figure 5: Derivation rules for strong judgements. Here, *c* denotes a list of distinct atoms c_1, \ldots, c_n . In all the rules $\overline{c_0} \subseteq \overline{c}$.

New derivation rules for *α*-equality

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$$
\n
$$
\frac{\text{diam}(\pi'^{-1} \circ \pi) \setminus \overline{c} \subseteq \text{dom}(\text{perm}(\Upsilon_{A,\overline{c_{0}}}|_{X}))}{\text{W} \overline{c}.\Upsilon_{A,\overline{c_{0}}} \mid \text{tr} \cdot \Upsilon_{A} \overset{\lambda}{\approx}_{\alpha} t'_{1} \cdots \text{W} \overline{c}.\Upsilon_{A,\overline{c_{0}}} \mid \text{tr} \cdot \pi \cdot X \overset{\lambda}{\approx}_{\alpha} t'_{n}} (\overset{\lambda}{\approx}_{\alpha} \text{ f})
$$
\n
$$
\frac{\text{W} \overline{c}.\Upsilon_{A,\overline{c_{0}}} \mid \text{tr} \cdot (t_{1},\ldots,t_{n}) \overset{\lambda}{\approx}_{\alpha} f(t'_{1},\ldots,t'_{n})}{\text{W} \overline{c}.\Upsilon_{A,\overline{c_{0}}} \mid \text{tr} \cdot \Upsilon_{A} \overset{\lambda}{\approx}_{\alpha} [a] t'} (\overset{\lambda}{\approx}_{\alpha} \text{ [a]})
$$
\n
$$
\frac{\text{W} \overline{c}.\Upsilon_{A,\overline{c_{0}}} \mid \text{S} \overset{\lambda}{\approx}_{\alpha} (a b) \cdot t \qquad \text{W} \overline{c}, c_{1}.\Upsilon_{A,\overline{c_{0}}} \mid (a c_{1}) \land t}{\text{W} \overline{c}.\Upsilon_{A,\overline{c_{0}}} \mid \text{G} \text{ s} \overset{\lambda}{\approx}_{\alpha} [b] t} (\overset{\lambda}{\approx}_{\alpha} \text{ ab})
$$

Figure 5: Derivation rules for strong judgements. Here, *c* denotes a list of distinct atoms c_1, \ldots, c_n . In all the rules $\overline{c_0} \subset \overline{c}$.

Theorem (Correctness)

И $\overline{c}. \Upsilon_{A,\overline{c_0}}\vdash \pi\curlywedge t$ if and only if И $\overline{c}. \Upsilon_{A,\overline{c_0}}\vdash \pi\cdot t \stackrel{\curlywedge}{\approx}_{\alpha} t$, where $\overline{c_0}\subseteq \overline{c}.$

Translations:

$$
[\cdot]_{\lambda} : \qquad a \# X \quad \mapsto \quad \text{Mc}_{a}.(a \ c_{a}) \ \lambda \ X
$$

$$
[\cdot]_{\#} : \quad \text{Mc}.(a \ c) \ \lambda \ X \quad \mapsto \quad a \# X.
$$

Theorem A

The following hold, for some \bar{c} (possibly empty):

1.
$$
\Delta \vdash a \# t \iff \mathsf{M}\overline{c}, c_1.[\Delta]_{\wedge} \vdash (a c_1) \wedge t
$$
.

2.
$$
\Delta \vdash s \approx_\alpha t \iff \mathsf{M}\bar{c}.[\Delta]_\lambda \vdash s \stackrel{\lambda}{\approx}_\alpha t.
$$

Translation between freshness and fixed-point constraints

Theorem B

The following hold, for $\overline{c_0} \subset \overline{c}$:

$$
1. \ \mathit{M}\overline{c},c_1.\Upsilon_{A,\overline{c_0}}\vdash (a\ c_1)\curlywedge t \iff [\Upsilon_{A,\overline{c_0}}]_{\#}\vdash a\#t.
$$

2. ИՇ. $\Upsilon_{A,\overline{c_0}}\vdash$ s $\stackrel{\curlywedge}{\approx}_{\alpha} t \iff [\Upsilon_{A,\overline{c_0}}]_\#,\overline{\overline{c}\# \mathtt{Var}(s,t)}\vdash$ s $\approx_\alpha t.$

Translation between freshness and fixed-point constraints

Theorem B

The following hold, for $\overline{c_0} \subset \overline{c}$:

$$
1. \ \textrm{M} \overline{c}, \, c_1. \Upsilon_{A, \overline{c_0}} \vdash (a \ c_1) \curlywedge t \iff [\Upsilon_{A, \overline{c_0}}]_{\#} \vdash a \# t.
$$

2. ИՇ. $\Upsilon_{A,\overline{c_0}}\vdash$ s $\stackrel{\curlywedge}{\approx}_{\alpha} t \iff [\Upsilon_{A,\overline{c_0}}]_\#,\overline{\overline{c}\# \mathtt{Var}(s,t)}\vdash$ s $\approx_\alpha t.$

- A fragment of the calculus with strong fixed-point constraints is equivalent to the calculus with freshness constraints.
- \cdot The judgement Ис₁, c₂.(a c₁) \curlywedge *X*, (b c₂) \curlywedge *X* \vdash (a b) \curlywedge *X* is derivable, and it cannot be translate to a freshness judgement. It remains valid since it is equivalent to $a \# X$, $b \# X \vdash (a \ b) \cdot X \approx X$.

Translation between freshness and fixed-point constraints

Theorem B

The following hold, for $\overline{c_0} \subset \overline{c}$:

$$
1. \ \textrm{M} \overline{c}, \, c_1. \Upsilon_{A, \overline{c_0}} \vdash (a \ c_1) \curlywedge t \iff [\Upsilon_{A, \overline{c_0}}]_{\#} \vdash a \# t.
$$

2. ИՇ. $\Upsilon_{A,\overline{c_0}}\vdash$ s $\stackrel{\curlywedge}{\approx}_\alpha t \iff [\Upsilon_{A,\overline{c_0}}]_\#,\overline{\overline{c}\# \mathtt{Var}(s,t)}\vdash$ s $\approx_\alpha t.$

- A fragment of the calculus with strong fixed-point constraints is equivalent to the calculus with freshness constraints.
- \cdot The judgement Ис₁, c₂.(a c₁) \curlywedge *X*, (b c₂) \curlywedge *X* \vdash (a b) \curlywedge *X* is derivable, and it cannot be translate to a freshness judgement. It remains valid since it is equivalent to $a \# X$, $b \# X \vdash (a \ b) \cdot X \approx X$.

Theorem C

Nominal sets are sound for strong fixed-point constraints and judgements.

Going back to the calculus without ^N, we can recover soundness in nominal sets by substituting rule (λvar) with:

$$
\frac{\pi^{\rho^{-1}} \in \langle \text{perm}(\Upsilon|_X) \rangle}{\Upsilon \vdash \pi \wedge \rho \cdot X} \text{ (Gvar}_{\lambda})
$$

However, we lose expressive power because we cannot obtain a fixed-point judgment to mimic $a\#X, b\#X \vdash (a\ b) \cdot [a]X \approx [a]X$.

[Conclusion and Future Work](#page-42-0)

Summary:

- Novel semantic interpretation of general fixed-point constraints
- Unlike freshness constraints, fixed-point constraints are not denotationally sound for nominal sets, only for strong nominal sets.
- To recover soundness, we changed our fixed-point context to contain primitive constraints of the form ^N*c.*(*a c*) ⋏ *X*.
- \cdot As a step towards completeness, we proved for strong theory T, $\mathbb{F}(T,\Sigma)$ is strong nominal.

Future Work:

- Investigate the completeness of fixed-point constraints.
- \cdot Extend the calculus with *M* modulo C.
- \cdot Apply the results to unification problems modulo C.

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